

At Colorado Financial Service Corporation ("CFSC"), we would like to make certain that you are aware and understand each of the items listed below. Please carefully read each item and sign below only if you concur.

- CFSC did not solicit your business as an individual.
- CFSC made contact with you as part of the firm's efforts to establish a corporate relationship with your firm.
- For a non-corporate or business account, you declined establishing a corporate relationship with CFSC, but expressed personal interest in the U.S. market and invited CFSC to present you with investment opportunities.
- CFSC made inquiry as to your financial means, level of investment experience, and investment objectives, prior to opening the account.
- You are aware of the associated risks of investing in the U.S Securities Markets (further information can be obtained at www.FINRA.org).
- After your initial contact with CFSC, you received information about the firm and an invitation to terminate the relationship prior to receiving an introductory phone call from a broker.
- You have decided to conduct business with CFSC and your decision to maintain the account constitutes a de facto invitation to CFSC to continue presenting you with investment opportunities.
- You are aware that you have the option to terminate the relationship with CFSC at any time (assuming of course there are no payment due at the time).
- You are aware that any dispute or claim, which may arise from your business dealings with CFSC, will be adjudicated in the United States, under the regulatory oversight of the Financial Industry Regulatory Authority (FINRA) rather than your local regulatory body.

Please feel free to provide us with any other feedback relating to your account, your representative, or any other aspect of your interaction with CFSC. Our objective is to make our association as pleasant and professional as possible. Thank you for your time and consideration.

With my signature below I certify that I have read and agree to all items listed above. I also certify that:

- I am not a "**politically exposed person**" as defined below;
- I am not involved in the business relationship with a government entity(ies) and/or politically exposed person(s); and
- For business account and investment banking relationship, the business entity named above is neither owned nor controlled by any politically exposed person(s).

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| Name (please print) | Signature | Date |
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| Name (please print) | Signature | Date |
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The term "**politically exposed person**" generally includes a current or former senior foreign political figure, their immediate family, and their close associates. More specifically:

- A "senior foreign political figure" is a senior official in the executive, legislative, administrative, military or judicial branches of a foreign government (whether elected or not), a senior official of a major foreign political party, or a senior executive of a foreign government-owned corporation. In addition, a senior foreign political figure includes any corporation, business, or other entity that has been formed by, or for the benefit of, a senior foreign political figure.
- The "immediate family" of a senior foreign political figure typically includes the figure's parents, siblings, spouse, children, and in-laws.
- A "close associate" of a senior foreign political figure is a person who is widely and publicly known to maintain an unusually close relationship with the senior foreign political figure, and includes a person who is in a position to conduct substantial domestic and international financial transactions on behalf of the senior foreign political figure.